

Entropy(2<sup>nd</sup> Law for a Process, as opposed to a Cycle)

Transitional forms of Energy: Mechanical  $\rightarrow$  work } work is the more  
 Thermal  $\rightarrow$  Heat } valuable commodity.

- Work can be completely and continuously converted to heat.
- Opposite is not true.
- Portion of heat that cannot be converted to work is unavailable energy.

That "unavailable energy" has to be rejected as "low-grade" heat.

④ Energy is conserved, but "availability" is not.

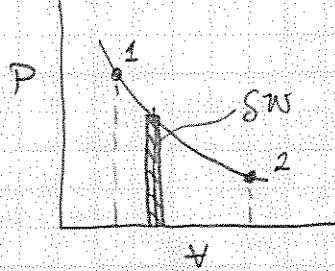
Entropy

- introduced & named by Clausius in 1865
- entropy is a property
- units of  $\text{Btu}/^{\circ}\text{R}$ ,  $\text{J/K}$   
 $\text{Btu}/^{\circ}\text{R/lbm}$ ,  $\text{J/kg K}$  for specific enthalpy

Consider Non-Fibous Work (gas expansion against a piston)

$$W_2 = \int_1^2 P dV$$

graphically, the total work is the area under the curve.



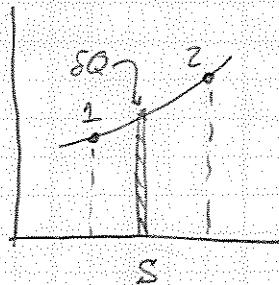
It would be convenient to have a similar graph where the area under the curve represents the heat transfer during a process.

For the work above, the pressure is the driving potential.

For heat, temperature difference is the driving potential.

$$|Q_2|_{rev} = \int_1^2 T dS$$

$$|Q_2| \leq \int_1^2 T dS$$



graphically, the total heat transfer for the process is the area under the curve

Caveats: the process must be reversible

Reversible, Adiabatic Process :  $\dot{Q} = 0$

$$\int Q_2 = \int T dS = 0$$

since  $T \neq 0$ ,  $\int dS = S_2 - S_1 = 0 \rightarrow S \equiv \text{constant} \equiv \text{isentropic}$

(isentropic ( $\Delta S = 0$ )  $\Leftrightarrow$  reversible, adiabatic process)

Definitions:

entropy  $\equiv$  the property which remains constant in an adiabatic, reversible process.

temperature  $\equiv$  the property which remains constant in an isothermal process.

## TdS - Gibbs Relationships

The change in entropy,  $S$ , during an energy conversion process can be a useful measure of the efficiency the process. While the First Law of Thermodynamics observes that energy is not created or destroyed during conversion, there is nearly always a portion of energy converted that becomes unusable; otherwise known as unavailable. The fraction of available energy to unavailable energy during a conversion process is a measure of conversion efficiency. No energy is lost even though the term lost work is often used to define efficiency. We merely observe that a fraction of energy converted appears impossible to recover. Therefore, determining the entropy change during a conversion process can assist with measuring total efficiency and optimizing energy conversion systems. In order to determine a change in entropy, we must be able to relate  $\Delta S$  to other measurable properties.

Consider a closed system on which reversible heat transfer and reversible work are applied to effect an energy change.

Applying the 1<sup>st</sup> Law to this process:

$$dq_{rev} - dw_{rev} = dE$$

for a closed system,  $dE = dU$

$$TdS - PdV = dU$$

on a per mass basis :  $Tds = du + pdv$   $\leftarrow$  Gibbs Equation

Integrating the Gibbs equation will relate changes in entropy,  $\Delta S$ , to pressure, temperature and volume; provided a property relationship exists for internal energy. The Gibbs equation can also be expressed in terms of enthalpy changes.

$$h = u + PV \rightarrow dh = d(u + PV) = \{ du + pdv \} + Vdp$$

$$Tds = dh - Vdp$$

## Entropy Change in an Incompressible Substance (liquid or solid)

Incompressibility implies that  $dN=0$ , or  $\Delta N \approx 0$ . Pressure and Temperature changes do not affect specific volume or density. Also, the change in internal energy of an incompressible substance is  $du = c dT$ . Therefore, the Gibbs equation can be simplified to

$$TdS = du + PdN = c dT$$

-OR-

$$dS = c \frac{dT}{T}$$

which when integrated becomes :  $S_2 - S_1 = C \ln\left(\frac{T_2}{T_1}\right)$  [liquid, solid]

If the temperature of an incompressible substance remains constant during an energy conversion process, then that process is isentropic ( $\Delta S=0$ ).

## Entropy Change in an Ideal Gas

For an ideal gas, the property relationships of interest are  $PV = RT$  and  $du = Cv dT$ . Substituting these into the Gibbs equation,

$$dS = \frac{du}{T} + \frac{PdN}{T} = Cv \frac{dT}{T} + R \frac{dN}{N}$$

Integration, assuming constant

specific heats, results in:  $S_2 - S_1 = Cv \ln\left(\frac{T_2}{T_1}\right) + R \ln\left(\frac{N_2}{N_1}\right)$  [ideal gas]

When integrating the enthalpy

form of the Gibbs equation:  $S_2 - S_1 = C_p \ln\left(\frac{T_2}{T_1}\right) - R \ln\left(\frac{P_2}{P_1}\right)$  [ideal gas]

Now changes in entropy of an ideal gas can be related to changes in pressure, temperature and volume.

## Entropy Change in a Vapor or Liquid near Saturation (i.e., steam)

There is no simple algebraic expression that relates properties of vapor and liquids residing in energy states at or near saturation. Liquids are not completely incompressible and may evaporate during pressure, temperature or volume changes. Vapors do not behave as an ideal gas nor as a non-ideal gas and may condense during an energy conversion process. As such relating changes in entropy to other measurable properties requires the use of the Gibbs equation and tabulated values of  $T, P, N, u$  and  $h$ .

### Reference State for Entropy

Although we can only determine a change in entropy during an energy conversion process, the use of entropy involves a single, state value. For example, the entropy of saturated steam (vapor) at 340°F and 64 psia is tabulated as 1.6687 Btu/lbm°F. In actuality, this value of entropy is the difference in entropy at  $T = 340^{\circ}\text{F}$ ,  $P = 64 \text{ psia}$  and some reference state,  $S^{\circ}$ . Most often the reference state is taken to be at an absolute temperature of 0 [K or °R]. And the value of entropy at  $T = 0 \text{ [K, }^{\circ}\text{R]}$  is arbitrarily set to 0. [ $\frac{\text{Btu}}{\text{lbm}\cdot\text{K}}$ ].

Thus,  $S_2 - S_1$  is really  $(S_2 - S^{\circ}) - (S_1 - S^{\circ})$ . As long as the reference state is the same for both  $S_1$  and  $S_2$ , the difference can be determined. Caution should be exercised if values of entropy are found from different sources or tables since the reference state may not be the same. This caution is also true for changes in internal energy and enthalpy.

## Isentropic Process with a liquid (incompressible)

For a liquid,  $\Delta S = c_v \ln(T_2/T_1)$ . For  $\Delta S = 0$ ,  $T_1 = T_2$ . Therefore, the temperature of an incompressible substance remains constant during an isentropic process.

## Isentropic Process with an ideal gas

$$S_2 - S_1 = 0 = c_v \ln(T_2/T_1) + R \ln(N_2/N_1)$$

rearranging:

$$\ln(T_2/T_1) = -\frac{R}{c_v} \ln(N_2/N_1) = \ln(N_1/N_2)^{R/c_v}$$

recall that  $R = C_p - C_v$  and  $k = C_p/C_v$ ; substitution of the definitions results in:  $\left. \frac{T_2}{T_1} \right|_{\Delta S=0} = \left( \frac{N_1}{N_2} \right)^{k-1}$  [ideal gas]

similarly,

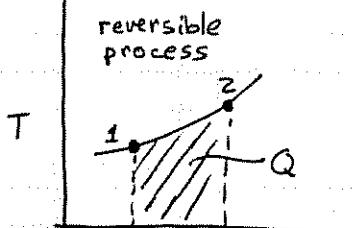
$$\left. \frac{T_2}{T_1} \right|_{\Delta S=0} = \left( \frac{P_2}{P_1} \right)^{\frac{k-1}{k}} \text{ [ideal gas]}$$

$$\left. \frac{P_2}{P_1} \right|_{\Delta S=0} = \left( \frac{N_1}{N_2} \right)^k \text{ [ideal gas]}$$

These three isentropic process relationships for an ideal gas can be rewritten as:

$$\left. \begin{array}{l} T N^{k-1} = \text{constant} \\ T P^{\frac{k-1}{k}} = \text{constant} \\ P N^k = \text{constant} \end{array} \right\} \text{ideal gas}$$

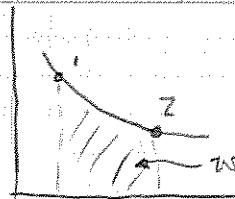
The last expression,  $P N^k = \text{constant}$ , is a form of a polytropic process where the polytropic coefficient is equal to the specific heat ratio,  $\gamma$ . This represents the most efficient possible polytropic process with an ideal gas because the entropy change is zero.



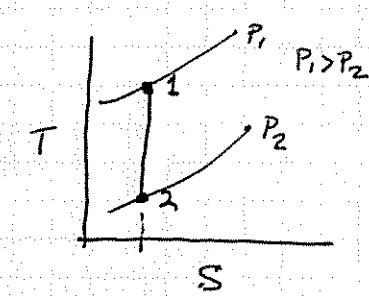
$$\Delta Q = \int_1^2 T dS?$$

$$\Delta S = T dS?$$

$$dS = \frac{\Delta Q}{T}$$



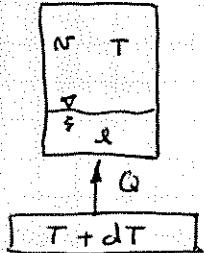
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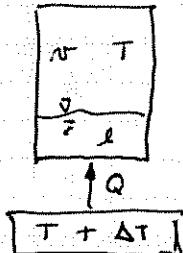
Entropy = the property which remains constant during an adiabatic, reversible process

$$\Delta Q \leq T dS$$

### Reversible Heat Transfer



reversible

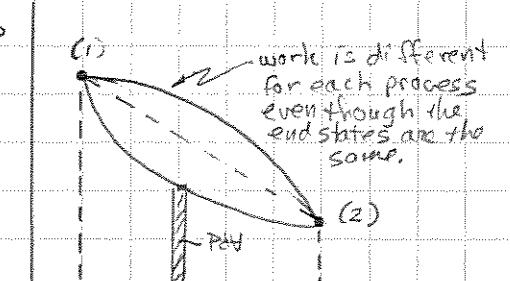


Irreversible  
(finite temperature)  
difference

## Polytropic & Isentropic Processes with an Ideal Gas

A polytropic process is described by  $PV^n = \text{constant}$  and is derived by linear interpolation of a pressure-volume path on a log-log plot. A polytropic process is particularly useful for studying work from expansion or to compression of a gas.

For example, the process that generates the maximum work in the P-V diagram shown is obvious. But which process has the least irreversibility. In other words, which process is closest to being isentropic ( $\Delta S=0$ )?



If the process is polytropic, which is often an accurate approximation for compression or expansion of a gas, then the work from the process is found by substituting  $C/V^n$  for  $P$  in the work integral.

$$W_2 = \int_1^2 P dV = \int_1^2 C \frac{dV}{V^n} = C \left( \frac{1}{1-n} \right) \left[ V_2^{1-n} - V_1^{1-n} \right]$$

Since  $P_1 V_1^n = P_2 V_2^n = C$ , the work from a polytropic process is:

$$W_2 = \frac{P_2 V_2 - P_1 V_1}{1-n} ; \text{ provided the polytropic coefficient } n \neq 1.$$

When  $n=1$ , the work is a special case of an isothermal ideal gas.

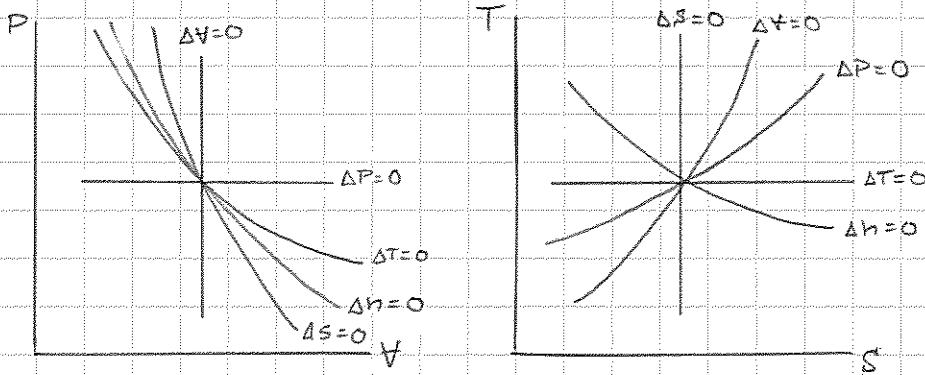
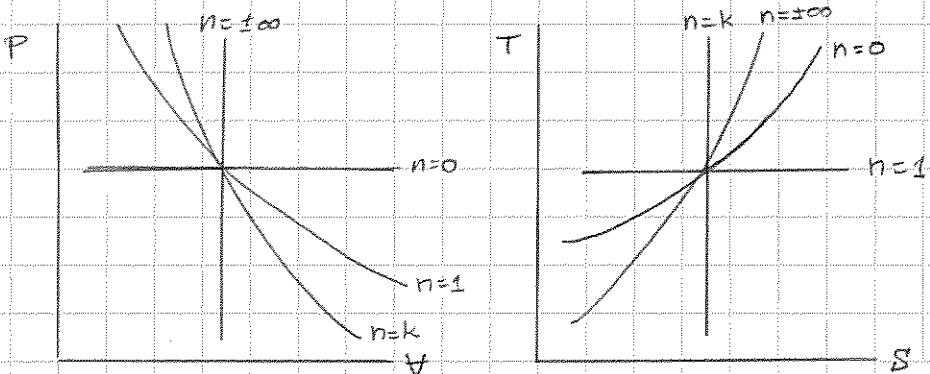
$$W_2 = \int_1^2 P dV = \int_1^2 \frac{C}{V} dV = C \ln \left( \frac{V_2}{V_1} \right)$$

Since  $P_1 V_1 = P_2 V_2 = C$ , the work from a polytropic process with  $n=1$  is:

$$W_2 = P_1 V_1 \ln \left( \frac{V_2}{V_1} \right) = P_2 V_2 \ln \left( \frac{V_2}{V_1} \right)$$

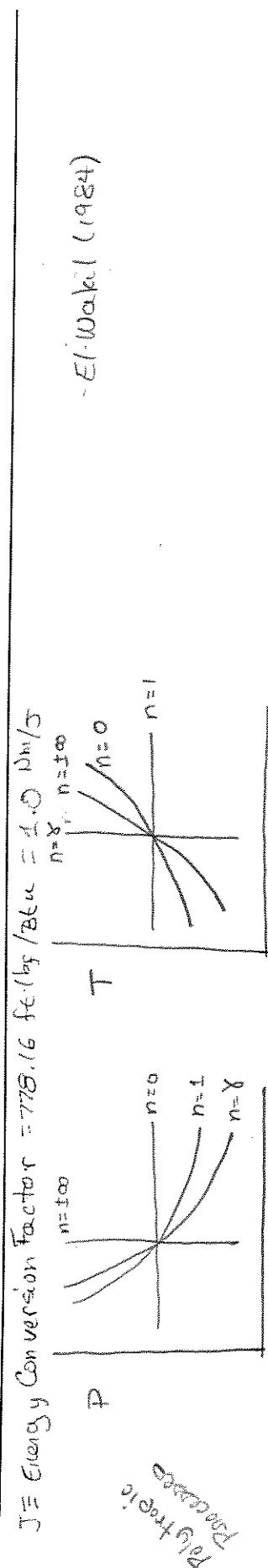
## Isoprocesses for an Ideal Gas

Process	$PV^n = C; n$	$\Delta U$	$\Delta H$	$\Delta S$
constant volume; isochoric	$\infty$	$C_V \Delta T$	$C_P \Delta T$	$C_V \ln(T_e/T_i)$
constant pressure; isobaric	0	$C_V \Delta T$	$C_P \Delta T$	$C_P \ln(T_e/T_i)$
constant temperature; isothermal	1	0	0	$R \ln(N_e/N_i)$
constant entropy; isentropic (adiabatic, reversible)	$K = \frac{C_P}{C_V}$	$C_V \Delta T$	$C_P \Delta T$	0
polytropic	$0 < n < \infty$	$C_V \Delta T$	$C_P \Delta T$	$C_V \ln(T_e/T_i) + R \ln(N_e/N_i)$



**Table 1-3 Perfect-gas relationships (constant specific heats)**

Process	$P, v, T$ relationships	$h_2 - h_1$	$s_2 - s_1$	w (nonflow)	w (flow)	$Q$
Isothermal	$T = \text{constant}$ $P_1/P_2 = v_2/v_1$	0	$(R/J)\ln(v_2/v_1)$	$(P_1v_1/J)\ln(v_2/v_1)$	$(P_1v_1/J)\ln(v_2/v_1)$	$(P_1v_1/J)\ln(v_2/v_1)$
Constant pressure	$P = \text{constant}$ $T_2/T_1 = v_2/v_1$	$c_v(T_2 - T_1)$	$c_p\ln(T_2/T_1)$	$P(v_2 - v_1)J$	0	$c_p(T_2 - T_1)$
Constant volume	$v = \text{constant}$ $T_2/T_1 = P_2/P_1$	$c_i(T_2 - T_1)$	$c_i\ln(T_2/T_1)$	0	$v(P_1 - P_2)J$	$c_i(T_2 - T_1)$
Isentropic (Adiabatic reversible)	$s = \text{constant}$ $P_1v_1^k = P_2v_2^k$ $T_2/T_1 = (v_1/v_2)^{k-1}$ $T_2/T_1 = (P_2/P_1)^{(k-1)/k}$	$c_p(T_2 - T_1)$	0	$\frac{(P_2v_2 - P_1v_1)}{J(1-k)}$	$\frac{k(P_2v_2 - P_1v_1)}{J(1-k)}$	0
Throttling	$h = \text{constant}$ $T = \text{constant}$ $P_1/P_2 = v_2/v_1$	0	0	$(R/J)\ln(v_2/v_1)$	0	0
Polytropic	$P_1v_1^n = P_2v_2^n$ $T_2/T_1 = (v_1/v_2)^{n-1}$ $T_2/T_1 = (P_2/P_1)^{(n-1)/n}$	$c_v(T_2 - T_1)$	$c_v\ln(P_2/P_1) + c_p\ln(v_2/v_1)$	$\frac{(P_2v_2 - P_1v_1)}{J(1-n)}$	$\frac{n(P_2v_2 - P_1v_1)}{J(1-n)}$	$c_v\left(\frac{k-n}{1-n}\right)(T_2 - T_1)$



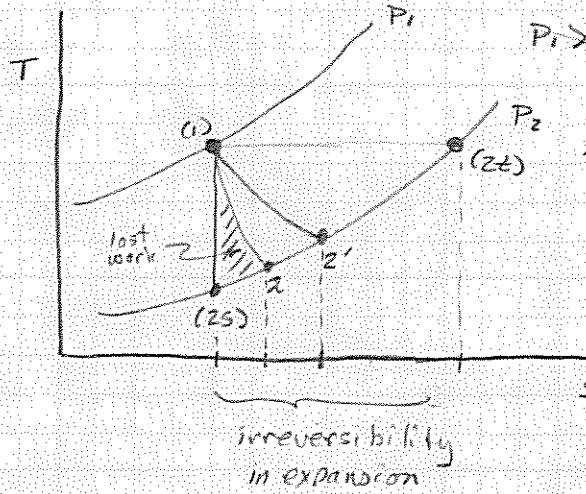
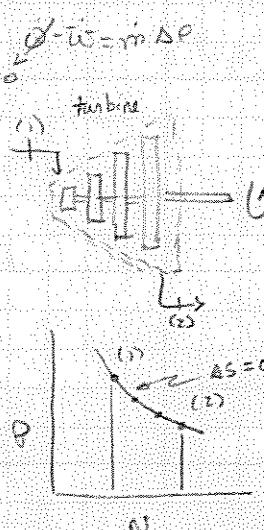
$$\eta = \frac{1}{1 - \frac{1}{n}}$$

S

N

# Work Expansion of an Ideal Gas

Adiabatic Expansion,  $\dot{Q} = 0$



$$-\dot{W}_t = h_1 - h_2$$

$$P_1 > P_2$$

$$-\dot{W}_{t, \text{ideal}} = h_1 - h_{2s}$$

$$\Delta h = c_p \Delta T \text{ (ideal gas)}$$

The pressure curves from the ideal gas relationships

$$\Delta S = c_p \ln\left(\frac{T_2}{T_1}\right)$$

$$T_2 = T_1 e^{\Delta S / c_p}$$

1-2s: Reversible, Adiabatic ( $\Delta S = 0$ ) = Isentropic

$$\frac{T_1}{T_{2s}} = \left(\frac{P_2}{P_1}\right)^{\frac{\nu-1}{\nu}} \quad (\text{ideal gas})$$

1-2t: Unrestrained Expansion (throttling)  $\rightarrow$  most irreversible process

- energy dissipated in fluid friction
- T remains constant

1-2: Irreversible Expansion, but not as bad as throttling

1-2': slightly greater irreversibilities than 1-2

1st Law for a turbine:

$$-\dot{W}_t = m(c_{out} - c_{in})$$

small change

$$c = \bar{u} + \frac{1}{2} P + \frac{1}{2} V^2 + g Z$$

$\uparrow P_D$

$$-\dot{W}_t = m \{ (\bar{u} + P_D)_{out} - (\bar{u} + P_D)_{in} \} = m(h_{out} - h_{in})$$

$$-\dot{W}_t = h_{out} - h_{in}$$

$$1-2s: \dot{W}_{2s} = h_1 - h_{2s} \rightarrow \text{reversible}$$

$$1-2: \dot{W}_2 = h_1 - h_2 \rightarrow \text{irreversible}$$

The degree of irreversibility is given by the isentropic adiabatic turbine efficiency.

• also known as: polytropic turbine efficiency

isentropic turbine efficiency  
adiabatic

$$\eta_t = \frac{\text{energy sought}}{\text{energy cost}} = \frac{\text{actual work}}{\text{(actual work) + (lost work)}} = \frac{\text{actual work}}{\text{ideal work}} = \frac{h_i - h_z}{h_i - h_{zs}}$$

Irreversibilities

• for an ideal gas with constant specific heats,  $h_i - h_z = C_p(T_i - T_z)$

$$\eta_t = \frac{h_i - h_z}{h_i - h_{zs}} = \frac{T_i - T_z}{T_i - T_{zs}}$$

$$\Delta h = C_p \Delta T$$

only for ideal gas with constant  $C_p$

{ "We can now state that the change in entropy is a measure of the unavailable energy." (El-Wahil)

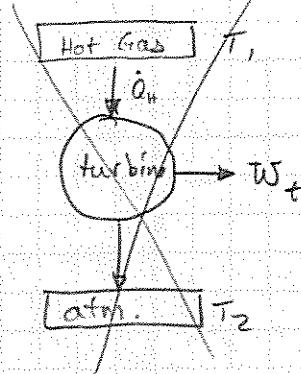
$$\Delta S = \frac{\Delta Q}{T}$$

unavailable energy?

that is, the portions of heat that cannot be converted to work.

### Example (Short Version)

Air expands in a gas turbine from 10 atm and 2000°F to 1 atm and 1050°F at the exhaust. Assuming a constant specific heat of 0.240  $\frac{\text{Btu}}{\text{lbm} \cdot ^\circ\text{F}}$ , find the turbine work (in Btu/lbm) and the adiabatic turbine efficiency.



$$W_{\text{turbine}} = h_1 - h_2 \quad (\text{adiabatic expansion, } \alpha = 0)$$

for an ideal gas,  $h_1 - h_2 = C_p (T_1 - T_2)$

$$\underline{W_{\text{turbine}}} = (0.240 \frac{\text{Btu}}{\text{lbm} \cdot ^\circ\text{F}})(2000^\circ\text{F} - 1050^\circ\text{F}) = \underline{228 \frac{\text{Btu}}{\text{lbm}}}$$

- the adiabatic turbine efficiency is:  $\eta_T = \frac{h_1 - h_2}{h_1 - h_{2s}} = \frac{T_1 - T_2}{T_1 - T_{2s}}$

↑ reversible, adiabatic expansion

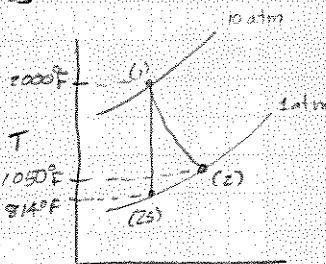
- need to find adiabatic reversible expansion temperature,  $T_{2s}$

- for an adiabatic process & ideal gas,

$$\frac{T_2}{T_1} = \left( \frac{P_2}{P_1} \right)^{\frac{k-1}{k}}, \text{ where } k = \frac{C_p}{C_v}$$

- for air,  $k = 1.4$

$$T_{2s} = (2000 + 460^\circ\text{R}) \left( \frac{1 \text{ atm}}{10 \text{ atm}} \right)^{(1.4-1)/1.4} = 1274^\circ\text{R} = 814^\circ\text{F}$$

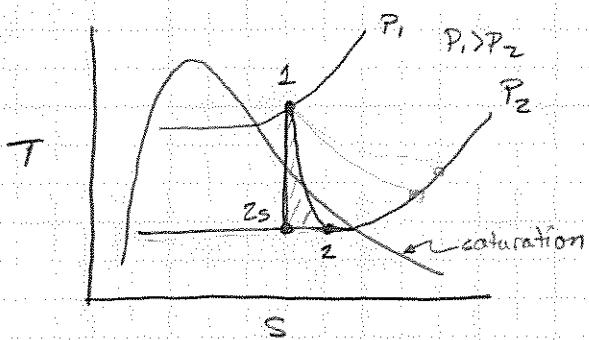


- Therefore,

$$\underline{\eta_T} = \frac{(2000^\circ\text{F} - 1050^\circ\text{F})}{(2000^\circ\text{F} - 814^\circ\text{F})} = 0.801 = \underline{80.1\%}$$

-or look up in tables -

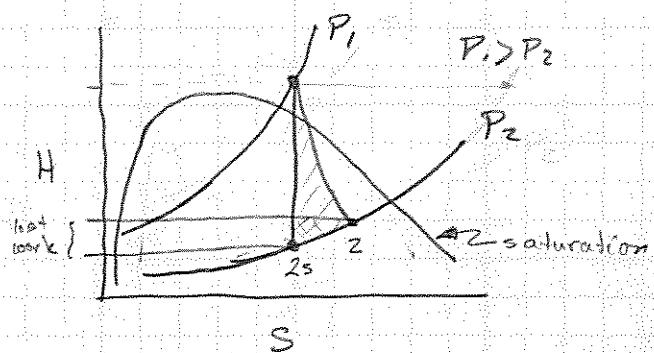
### Case of Vapor Expansion



$T_{2s} = T_2$  { because we are in the two-phase region.

Quality increases with irreversibilities, but temp. does not.

### Mollier Diagram

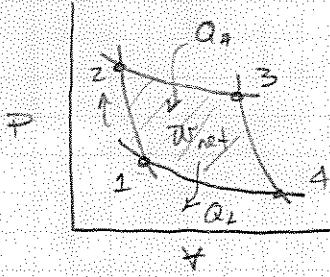


$h_2 > h_{2s}$ , exit enthalpy is greater in the irreversible case

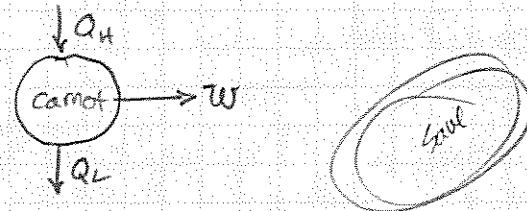
degree of irreversibility is

$$\eta_T = \frac{h_1 - h_2}{h_1 - h_{2s}}$$

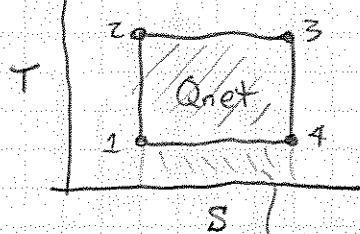
### Revisiting Carnot Cycle



(Claudius)



All processes are reversible



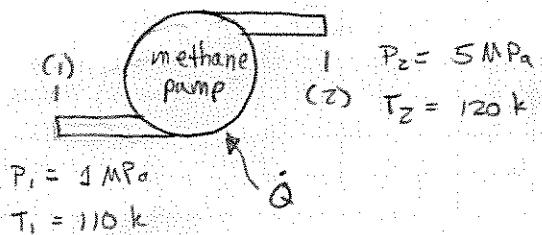
(Gibbs)

Heat which is not available for conversion to work.

## Example - Cryogenic Methane Pump \*

For a pump from  $T_1 = 110\text{ K}$  to  $T_2 = 120\text{ K}$

- Liquid methane used in cryogenic applications.
- Determine the entropy change in the liquid methane as it passes through a pump:
  - from property data
  - assuming totally incompressible liquid. Error using this?



\* Critical temperature of methane is  $111\text{ K}$  ( $-82^\circ\text{C}$ ) ; temperature below which methane remains liquid

$$(a) \text{ state } 1: P_1 = 1 \text{ MPa} \quad \left. \begin{array}{l} S_1 = 4.875 \text{ kJ/kg K} \\ C_p = 3.471 \text{ kJ/kg K} \end{array} \right\} \quad P_2 = 5 \text{ MPa} \quad \left. \begin{array}{l} S_2 = 5.145 \text{ kJ/kg K} \\ C_{p2} = 3.486 \text{ kJ/kg K} \end{array} \right\} \quad S_2 - S_1 = 0.270 \frac{\text{kJ}}{\text{kg K}} \quad 0.43\% \text{ change in } C_p$$

$$T_1 = 110 \text{ K} \quad T_2 = 120 \text{ K}$$

$$(b) \quad S_2 - S_1 = C \ln(T_2/T_1)$$

$$C_{avg} = 3.4785 \frac{\text{kJ}}{\text{kg K}}$$

$$S_2 - S_1 = (3.4785 \frac{\text{kJ}}{\text{kg K}}) \ln\left(\frac{120\text{K}}{110\text{K}}\right) = 0.303 \frac{\text{kJ}}{\text{kg K}}$$

$$\text{error} = \frac{\Delta S_{\text{actual}} - \Delta S_{\text{ideal}}}{\Delta S_{\text{actual}}} = \underline{\underline{0.122 (12.2\%)}}$$

\* Adapted from Cengel & Boles, 4th ed.

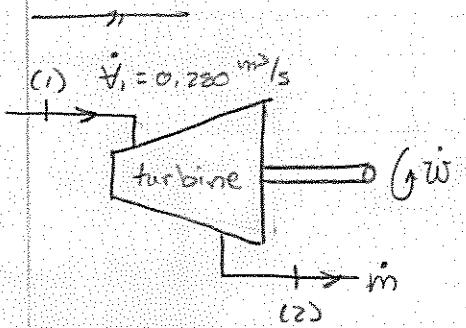
## Example - Replacing Throttling Valve with Turbine\*

A cryogenic manufacturing facility handles liquid methane at 115 K and 5 MPa at a rate of 0.280 m<sup>3</sup>/s. A process requires dropping the pressure of the liquid methane to 1 MPa, which is accomplished by throttling the liquid methane by passing it through a valve (flow restrictor).

A recently hired engineer proposes to replace the throttling valve with a turbine in order to produce power while dropping the pressure to 1 MPa.

Determine the maximum power that the turbine can produce.

Determine how much this turbine could save the facility in electricity costs per year if the turbine operates continuously and the facility pays \$0.075/kW·hr on average for electricity.



Assumptions:

- adiabatic
- reversible
- steady flow
- uniform flow

- negligible change in kinetic & potential energy

$$(1) P_1 = 5 \text{ MPa} \quad h_1 = 232.2 \text{ kJ/kg}$$

$$T_1 = 115 \text{ K} \quad s_1 = 4.9945 \text{ kJ/kgK}$$

$$\rho_1 = 422.15 \text{ kg/m}^3$$

$$(2) P_2 = 1 \text{ MPa} \quad h_2 = 222.8 \text{ kJ/kg}$$

$$s_2 = s_1$$

$$\dot{Q}_2 - \dot{W}_2 = \dot{m}(e_2 - e_1)$$

$$\dot{W}_2 = \dot{m}(h_2 - h_1)$$

$$\dot{m} = \rho_1 \dot{V}_1 = 118.2 \text{ kg/s}$$

$$-\dot{W}_{\text{turbine}} = (118.2 \text{ kg/s}) [222.8 \text{ kJ/kg} - 232.2 \text{ kJ/kg}]$$

$$\boxed{\dot{W}_{\text{turbine}} = 1123 \text{ kW}}$$

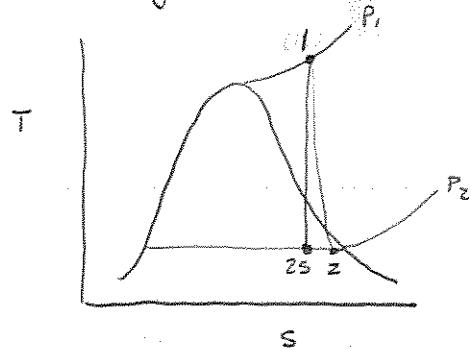
$$\begin{aligned} \text{Annual Power Product} &= \dot{W}_{\text{turbine}} \times \Delta t = (1123 \text{ kW})(8760 \text{ hr/year}) \\ &= \underline{\underline{9.837 \cdot 10^6 \text{ kW} \cdot \text{hr}/\text{yr}}} \end{aligned}$$

$$\text{At } \$0.075/\text{kW}\cdot\text{hr}, \text{Annual Savings} = \$737,800/\text{yr}$$

$$\text{Actual turbine } \sim 80\% \text{ efficient} \rightarrow 900 \text{ kW} \text{ & save } \$600,000/\text{yr}$$

\* Adapted from Cengel & Boles, 4th ed.

A steam turbine is used to drive a feedwater pump of a large utility boiler. A 17.78 kg/s flow of supercritical steam enters the turbine at 808.3 k and 23.26 MPa. The steam exits the turbine at 5,249 kPa with a quality of 0.9566. Determine the power produced by the turbine and the turbine isentropic efficiency.



#### State 1 - Supercritical Steam

$$T_1 = 808.3 \text{ K}$$

$$P_1 = 23.26 \text{ MPa}$$

$$h_1 = 3312.1 \text{ kJ/kg}$$

$$s_1 = 6.1762 \text{ kJ/kg K}$$

#### State 2 - Saturated Liquid & vapor

$$\chi_2 = 0.9566$$

$$P_2 = 5,249 \text{ kPa}$$

$$h_2 = (1-\chi_2)h_{f2} + \chi_2 h_{g2}$$

$$= (1-0.9566)(41.38 \frac{\text{kJ}}{\text{kg}}) + (0.9566)(2562.3 \frac{\text{kJ}}{\text{kg}})$$

$$= 2457.2 \text{ kJ/kg}$$

$$s_2 = (1-\chi_2)s_{f2} + \chi_2 s_{g2}$$

$$= (1-0.9566)(0.48803 \frac{\text{kJ}}{\text{kg K}}) + (0.9566)(8.3765 \frac{\text{kJ}}{\text{kg K}})$$

$$= 8.0341 \text{ kJ/kg K}$$

$$s_{2s} = s_1 = 6.1762$$

$$\chi_{2s} = \frac{s_{2s} - s_{2g}}{s_{2g} - s_{2f}} = 0.721$$

$$h_{2s} = (1-\chi_{2s})h_{f2} + \chi_{2s} h_{g2} = 1887.0 \frac{\text{kJ}}{\text{kg}}$$

Specific Work:

$$w_t = h_1 - h_2 = 3312.1 \frac{\text{kJ}}{\text{kg}} - 2457.2 \frac{\text{kJ}}{\text{kg}} = 854.9 \text{ kJ/kg}$$

Power:

$$\dot{W}_t = \dot{m} w_t = (1778 \frac{\text{kg}}{\text{s}})(854.9 \frac{\text{kJ}}{\text{kg}}) = 15,200 \text{ kW}$$

Isentropic Efficiency:

$$\eta_t = \frac{h_1 - h_2}{h_1 - h_{2s}} = \frac{3312.1 \frac{\text{kJ}}{\text{kg}} - 2457.2 \frac{\text{kJ}}{\text{kg}}}{3312.1 \frac{\text{kJ}}{\text{kg}} - 1887.0 \frac{\text{kJ}}{\text{kg}}} = 0.60 = 60\%$$

Process - a system transitions from one equilibrium state to another

Path - series of states that a system passes through during a process

quasi-equilibrium - process during which the system remains nearly in equilibrium

Iso-processes - process during which one property is constant

isochoric  $\rightarrow$  Volume is constant

isobaric  $\rightarrow$  Pressure is constant, also isopiestic

isothermal  $\rightarrow$  Temperature is constant

isentropic  $\rightarrow$  Entropy is constant

adiabatic  $\rightarrow$  no transitional thermal energy conversion

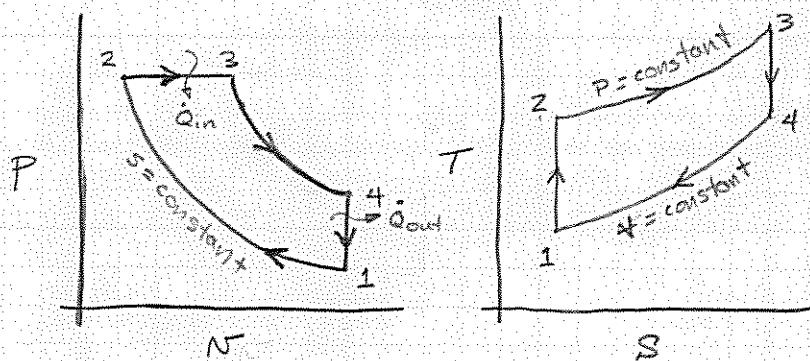
cycle - succession of states in which the system ultimately returns to the initial state

### Cycle

"In order to convert forms of energy, particularly heat, to work on an extended or continuous basis, one needs to operate on a cycle"

- "series of processes that begins and ends at the same state, and thus can repeat indefinitely, or as long as needed."

Ideal Diesel Cycle (no losses)



1-2: adiabatic compression  
2-3: isobaric heat addition  
3-4: adiabatic expansion  
4-1: isochoric heat rejection

For the Cycle:  $\nabla_i - \nabla_f = 0 \Rightarrow \Delta Q_{net} = Q_{in} - |Q_{out}| = \Delta W_{net}$

④ Alternative form of the first law:

$$\int f dQ = \int f dW$$

↑ units conversion factor;  $J = 778.16 \frac{\text{ft} \cdot \text{lbf}}{\text{BTU}} = 1.0 \frac{\text{N} \cdot \text{m}}{\text{J}}$

## Gas Cycles

$$\text{Engine Efficiency, } \eta_{th} = \frac{W_{net}}{Q_{in}}$$

### Gas Cycle Heat Engines:

Internal Combustion, Spark Ignition (SI)

Internal Combustion, Compression Ignition (CI)

External Combustion, Piston

External Combustion, Turbine

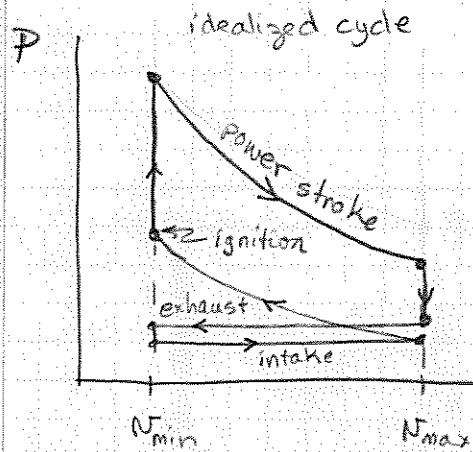
OTTO Cycle

Diesel Cycle

Stirling Cycle

Ericsson, Brayton

### OTTO Cycle



## Gas Cycles

S.I. Engine (Otto)  
C.I. Engine (Diesel)  
Gas Turbine (Brayton & Ericsson)  
External Combustion (Stirling)

} "open" Cycle  $\rightarrow$  working fluid does  
not go through a  
complete cycle

- Advantageous to devise a "closed" cycle that approximates the "open" cycle.

## Air Standard Cycle

- start w/o flow cycle
  - description
  - image of engine from Motors manual
  - CES ~~example~~ example
  - indicator from cabinet

# Gas Cycles

## Air Standard Cycles

S.I. Engine

Diesel Engine

Gas Turbine

} "open" cycle → working fluid does not go through a complete cycle  
- advantageous to devise "closed" cycles that approximate the "open" cycle

## Air Standard Cycle

- fixed mass of air throughout the entire cycle; ~~inlet~~ ← no inlet/exhaust process
- air is an ideal gas
- combustion process is replaced by heat transfer from an external source
- cycle is completed by heat transfer to surroundings
- all processes internally reversible
- air has constant specific heat

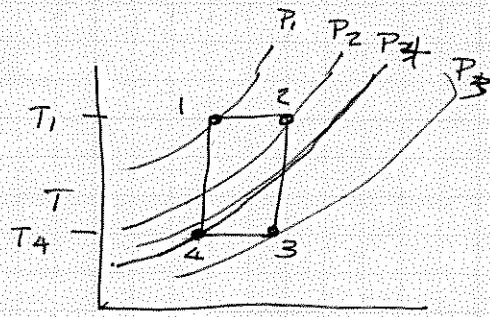
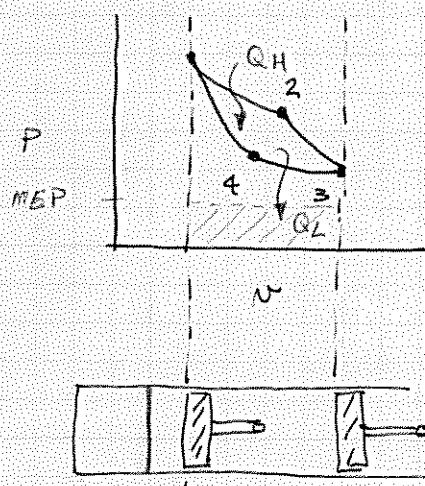
ASC → qualitative study of the influence of a number of variables  
- efficiency, mean effective pressure, etc. don't match w/ actual systems

mean effective pressure → the pressure which, if it acted on the piston during the entire power stroke, would do the same work as actually done on the piston

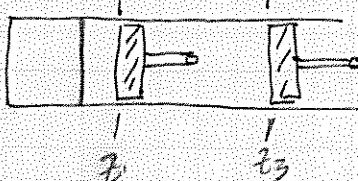
$$\text{Work cycle} = \frac{(M.E.P.) \cdot \text{Apiston stroke}}{\text{cycle}}$$

F.D.  $a_{12}$   
 P.  $a_{21} (A_{12})$   
 P.  $a_{34} (A_{12})$

## Air Standard Carnot Cycle



$$\eta_{th} = 1 - \frac{T_2}{T_H} = 1 - \frac{T_1}{T_4} = 1 - \frac{T_2}{T_3}$$

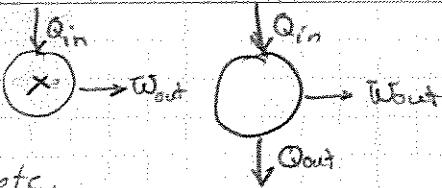


other measures of efficiency : isentropic pressure ratio =  $r_{ps} = \frac{P_1}{P_4} = \frac{P_2}{P_3} = \left(\frac{T_2}{T_1}\right)^{\frac{1}{k-1}}$

isentropic compression ratio =  $r_{sc} = \frac{T_4}{T_1} = \frac{P_2}{P_1} = \left(\frac{T_2}{T_1}\right)^{\frac{1}{k-1}}$

## Second Law

1<sup>st</sup> Law for a cycle:  $\Delta Q = \Delta W$



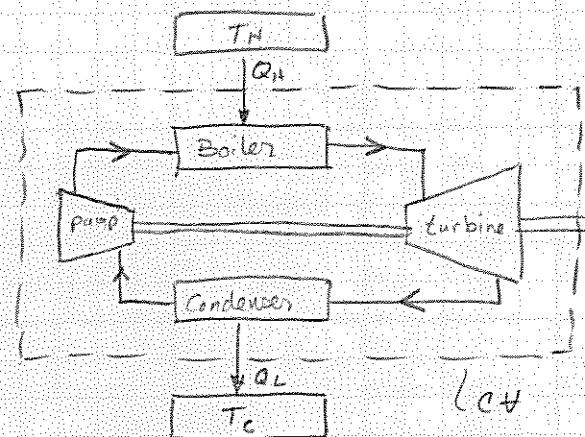
- precludes heat rejection, friction, etc.
- given a conversion efficiency, can analyze a real system

2<sup>nd</sup> Law is based on the heat engine → really only applies to a heat engine

Heat Engine conversion of transitional thermal energy (heat) to/transitional mechanical energy (work) using two thermal reservoirs

## Thermal Reservoir

- constant temperature source/sink - source/sink of thermal energy (not true if stored)
- heat transfer to/from thermal reservoir does not change its temperature (not true if heat exchange)



## Steam Turbine (Rankine Cycle)

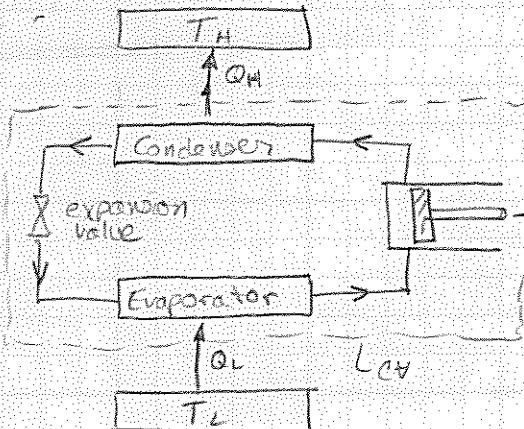
Thermal Efficiency:

$$\eta_{\text{thermal}} = \frac{\text{energy sought}}{\text{energy cost}} = \frac{W}{Q_H}$$

$$W = Q_H - Q_L \quad (\text{1}^{\text{st}} \text{ Law})$$

$Q_L \neq 0 \quad (\text{2}^{\text{nd}} \text{ Law})$

$$\eta_{\text{thermal}} = \frac{Q_H - Q_L}{Q_H} = 1 - \frac{Q_L}{Q_H}$$



## Refrigeration Cycle

Coefficient of Performance (COP):

$$\beta = \frac{\text{energy sought}}{\text{energy cost}} = \frac{Q_L}{W} = \frac{Q_L}{Q_H - Q_L}$$

$$\beta = \frac{1}{Q_H/Q_L - 1}$$

## Second Law Statements

(A) Kelvin - Planck : Impossible to build a 100% efficient heat engine.  
 $W \neq Q_H$  ( $W < Q_H$ )

(B) Clausius ! Impossible to transfer heat from a cold body to a hot body without work input. (cyclically)

$$Q_L \neq Q_H \text{ in a cycle}$$

- cannot prove "negative" postulates ; proof rests on experimental evidence.

Reversible Processes → deviation from equilibrium is infinitesimal and occurs at an infinitesimal rate

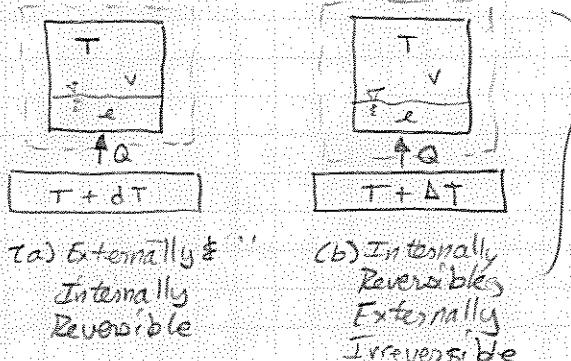
- work expressions from Wed. lecture

- Irreversibilities:
- friction
  - unrestrained expansion → work required to reverse state
  - heat transfer through  $\Delta T$  (as opposed to  $dT$ )
    - refrigeration required to restore state which rejects heat to surroundings
  - mixing of two substances → work required to separate
  - Joule heating ( $I^2 R$ )
  - combustion

- External vs. Internal Irreversibilities

Internal → no irreversibility occurs within boundary during process

External → no irreversibility occurs outside boundary during process



system passes through exact same states, but (a) is <sup>totally</sup> reversible & (b) is only internally reversible,

Carnot Cycle (proposed in 1824 by Sadi Carnot (1796-1832))

Carnot Heat Engine - cycle composed of 4 reversible processes

- (1) isothermal
- (2) adiabatic

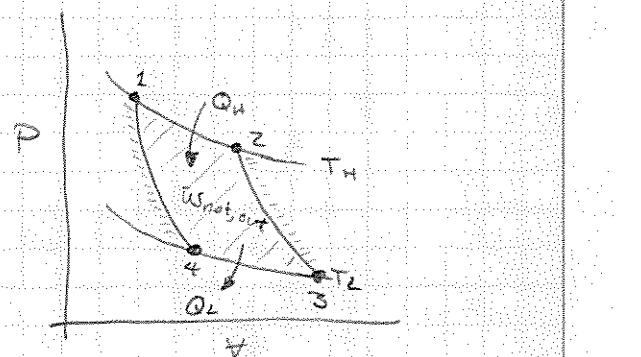
} closed or steady flow system

1-2: Reversible Isothermal Expansion

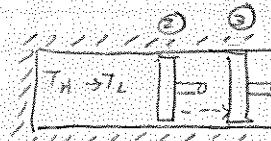
2-3: Reversible Adiabatic Expansion

3-4: Reversible Isothermal Compression

4-1: Reversible Adiabatic Compression



$T_H$  remains constant between 1 & 2



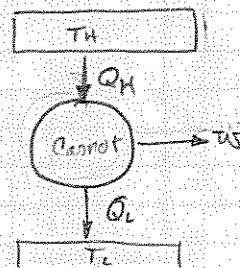
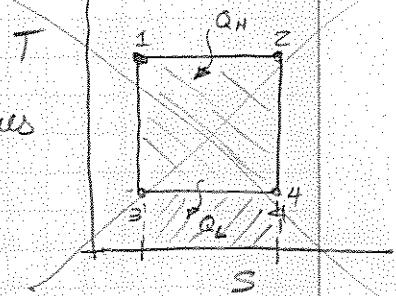
$Q=0$ ,  $T_H \rightarrow T_L$  as expansion continues



$T_L$  remains constant between 3 & 2



$Q=0$ ,  $T_L \rightarrow T_H$  as compression continues



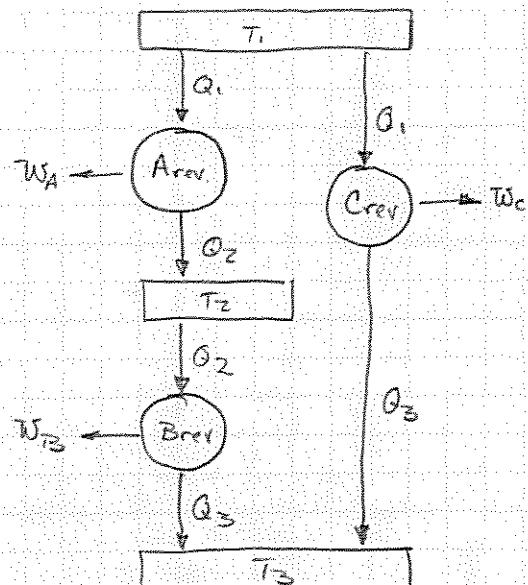
- cycle reversed is a refrigerator
- cycle is independent of the working fluid, it is only dependent heat input & output

1<sup>st</sup> Proposition: It is impossible to construct a heat engine that is more efficient than a reversible engine.

2<sup>nd</sup> Proposition: All engines that operate on a Carnot cycle between two given constant-temperature reservoirs have the same efficiency.

## Thermodynamic Temperature Scale

- The dependence of Carnot cycle upon temperatures and not working fluid is basis for the thermodynamic temperature scale.



$$\eta_{\text{thermal}} = \frac{\dot{W}_{\text{net}}}{\dot{Q}_{\text{in}}} = \frac{\dot{Q}_{\text{in}} - \dot{Q}_{\text{out}}}{\dot{Q}_{\text{in}}} = 1 - \frac{\dot{Q}_{\text{out}}}{\dot{Q}_{\text{in}}}$$

$$\frac{Q_L}{Q_H} = f(T_H, T_L) \quad \text{for a Carnot cycle}$$

$$\frac{Q_1}{Q_2} = f(T_1, T_2)$$

$$\frac{Q_2}{Q_3} = f(T_2, T_3)$$

$$\frac{Q_1}{Q_3} = f(T_1, T_3)$$

$$\frac{Q_1}{Q_2} \cdot \frac{Q_2}{Q_3} = \frac{Q_1}{Q_3}$$

$$f(T_1, T_2) f(T_2, T_3) = f(T_1, T_3)$$

only a function  
of  $T_1$  &  $T_3$

$$\text{Therefore, } S(T_1, T_2) = \frac{\phi(T_1)}{\phi(T_2)}$$

$$\frac{Q_L}{Q_H} = \frac{\phi(T_L)}{\phi(T_H)} \quad (+)$$

- Many functional relationships will satisfy eqn (+). Lord Kelvin proposed two different relationships:

$$(1) \frac{Q_H}{Q_L} = \frac{T_H}{T_L} \quad \left. \begin{array}{l} \text{kelvin Scale} \\ (\text{ratio}) \end{array} \right. \quad 0 \leq T < \infty$$

• Triple point of water was assigned the value of 273.16 K.

$$(2) \frac{Q_H}{Q_L} = \frac{e^{\tilde{T}_H}}{e^{\tilde{T}_L}}$$

$$\log_{10}(\Omega_H / \Omega_L) = \tilde{T}_H - \tilde{T}_L \quad \left. \begin{array}{l} \text{relation between (1) \& (2)} \\ \tilde{T} = \log_{10} T + L \end{array} \right. \quad \text{where } L = \text{temp. that corresponds} \\ \text{to } 0 \text{ on the logarithmic scale}$$

$$-\infty < \tilde{T} < \infty$$

$$\text{Carnot Efficiency: } \eta_c = 1 - \frac{Q_L}{Q_H} = 1 - \frac{T_L}{T_H} \quad \left. \begin{array}{l} \text{maximum} \\ \text{efficiency} \\ \text{of a thermal} \\ \text{power cycle} \end{array} \right.$$

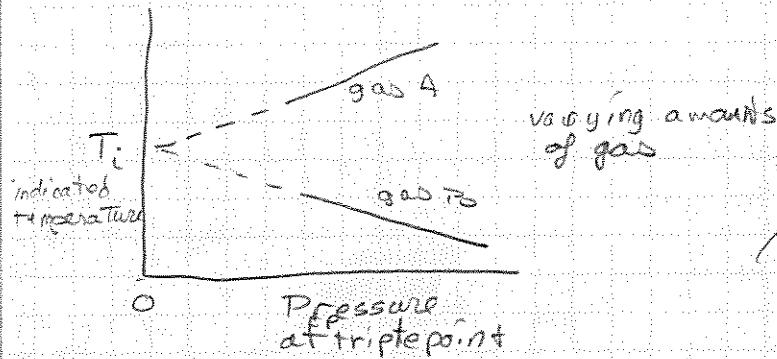
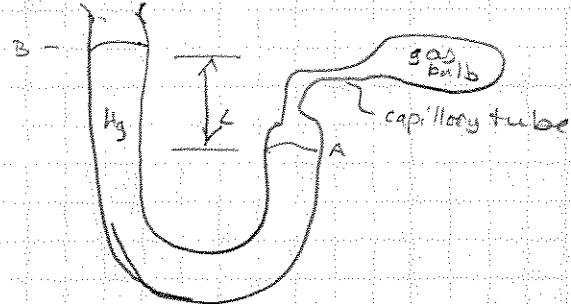
## Ideal-Gas Temperature Scale

$$PV = RT$$

$$T = 273.16 \left( \frac{P}{P_{\text{sp}}} \right)$$

↑ triple point of water

- No gas is ideal; however, as  $P \rightarrow 0$ , the behavior of all gases approach that of an ideal gas.



turns out ~~this is the~~ this is the  
ideal-gas temperature  
scale is equivalent to  
the thermodynamic temp  
scale.

can be shown by using an  
ideal gas as the working  
fluid in a Carnot cycle

# VALUES OF THE GAS CONSTANT, R

Coleman J. Major

The numerical value of the gas constant, R, defined by the equation  $PV = nRT$ , depends upon the units of P, V, n, and T. A large number of values of the constant may be calculated. The accompanying table gives 84 values of R in a convenient form using the most common units of pressure and volume. It also incorporates both the pound and gram mole and both Rankine and Kelvin temperature scales. Various combinations of metric and English units may, therefore, be used without the necessity of converting each variable to a common system of units. Conversion factors and constants used for computing the values of R are listed at the bottom of the table. The following example illustrates the use of the table:

**Calculate:** The volume in  $\text{ft}^3$  occupied by 2 lb. moles of a gas at  $15^\circ\text{C}$  at a pressure of 32.2 ft. of water, assuming the ideal gas law.

**Solution:**  $15^\circ\text{C} + 273.2 = 288.2^\circ\text{K}$

Enter the top of the table under the column headed "ft  $\text{H}_2\text{O}$ " and proceed downward to the value of 44.6 for R. (Note that this lines up horizontally with the desired units of  $\text{ft}^3$ ,  $^\circ\text{K}$ , and lb. moles shown on the left side of the table)

$$V = \frac{nRT}{P} = \frac{2 \times 44.6 \times 288.2}{32.2} = 798 \text{ ft}^3$$

$$\text{Values of Gas Constant, } R = \frac{PV}{nT}$$

## Absolute Pressure

Volume	Temp.	moles	Atm	psia	mm Hg	cm Hg	in Hg	in $\text{H}_2\text{O}$	ft $\text{H}_2\text{O}$
$\text{ft}^3$	$^\circ\text{K}$	gm	0.00290	0.0426	2.20	0.220	0.0867	1.18	0.0982
		lb	1.31	19.31	999	99.9	39.3	535	44.6
	$^\circ\text{R}$	gm	0.00161	0.02366	1.22	0.122	0.0482	0.655	0.0546
		lb	0.730	10.73	555	55.5	21.8	297	24.8
$\text{cm}^3$	$^\circ\text{K}$	gm	82.05	1206	62,400	6240	2450	33,400	2780
		lb	37,200	547,000	$2.83 \times 10^7$	$2.83 \times 10^6$	$1.11 \times 10^6$	$1.51 \times 10^7$	$1.26 \times 10^6$
	$^\circ\text{R}$	gm	45.6	670	34,600	3460	1360	18,500	1550
		lb	20,700	304,000	$1.57 \times 10^7$	$1.57 \times 10^6$	619,000	$8.41 \times 10^6$	701,000
liters	$^\circ\text{K}$	gm	0.08205	1.206	62.4	6.24	2.45	33.4	2.78
		lb	37.2	547	28,300	2830	1113	15,140	1262
	$^\circ\text{R}$	gm	0.0456	0.670	34.6	3.46	1.36	18.5	1.55
		lb	20.7	304	15,700	1570	619	8410	701

## Conversion Factors and Constants

1 lb. = 453.59 gm	359.0 $\text{ft}^3/\text{lb}$ mole
1 atm = 14.696 psia	22,414 $\text{cm}^3/\text{gm}$ mole
1 atm = 760 mm Hg	1 inch = 2.54 cm
1 atm = 76 cm Hg	Std. temp. = $273.16^\circ\text{K}$ or $491.69^\circ\text{R}$
1 atm = 29.921 in Hg	$28.31605 \text{ liters} = 1 \text{ ft}^3$
1 atm = 406.79 in $\text{H}_2\text{O}$	$R = 8.31432 \pm 0.00034 \times 10^7 \text{ erg } ^\circ\text{K}^{-1} \text{ mol}^{-1}$
1 atm = 33.90 ft $\text{H}_2\text{O}$	